

**FEE TRANSMITTAL**  
for FY 2004  
(Small Entity)



		Complete if Known	
Application Number		09/914,046	
Filing Date		Oct. 1, 2001	
First Named Inventor		Liang XU	
Examiner Name		Marianne Nmn Dibrino	
Group Art Unit		1644	
Total Amount of Payment	(\$ 475.00)	Attorney Docket Number	2444-105

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized the required fees to  
Deposit Account Number 02-2135  
in the name of Rothwell, Figg, Ernst & Manbeck
- Charge any Additional Fee Required Under  
37 CFR 1.16 and 1.17
- Applicant claims small entity status.
2.  Payment Enclosed:
  - Check
  - Credit Card

**FEE CALCULATION** (continued)

**3. ADDITIONAL FEES**

Fee Code	Fee Paid	Fee Description	Fee Paid
2051	65	Surcharge - late filing fee or oath	[ ]
2052	25	Surcharge - late provisional filing fee or cover sheet	[ ]
1053	130	Non-English specification	[ ]
1812	2,520	For filing a request for reexamination	[ ]
1804	920	Requesting publication of SIR prior to Examiner action	[ ]
1805	1,840*	Requesting publication of SIR after Examiner action	[ ]
2251	55	Extension for reply within first month	[ ]
2252	210	Extension for reply within second month	[ ]
2253	475	Extension for reply within third month	[475.00]
2254	740	Extension for reply within fourth month	[ ]
2255	1,005	Extension for reply within fifth month	[ ]
2401	165	Notice of Appeal	[ ]
2402	165	Filing a brief in support of an appeal	[ ]
2403	145	Request for Oral Hearing	[ ]
1451	1,510	Petition to institute a public use proceeding	[ ]
2452	55	Petition to revive -unavoidable	[ ]
2453	475	Petition to revive - unintentional	[ ]
2501	665	Utility issue fee (or reissue)	[ ]
2502	240	Design issue fee	[ ]
2503	320	Plant issue fee	[ ]
1460	130	Petitions to the Commissioner	[ ]
1807	50	Processing fee under 37 CFR 1.17(q)	[ ]
1806	180	Submission of Information Disclosure Statement	[ ]
8021	40	Recording each patent assignment per property (times number of properties)	[ ]
2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	[ ]
2810	385	For each additional invention to be examined (37 CFR 1.129(b))	[ ]
2801	385	Request for Continued Examination (RCE)	[ ]
1802	900	Request for expedited examination of a design application	[ ]
1504	300	Publication fee for early, voluntary, or normal publication	[ ]
1505	300	Publication fee for republication	[ ]
1455	200	Filing an application for patent term adjustment	[ ]
1456	400	Request for reinstatement of term reduced	[ ]
		Other fee (specify)	[ ]

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL** \$ 475.00

SUBMITTED BY		Complete (if applicable)	
NAME AND REG. NUMBER	Barbara G. Ernst, Reg. No. 30,377		
SIGNATURE	Barbara G. Ernst	DATE	April 30, 2004
		DEPOSIT ACCOUNT USER ID	

164448  
*SPW*  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/914,046  
Applicant : Liang XU et al.  
Filed : October 1, 2001  
TC/A.U. : 1644  
Examiner : Marianne Nmn Dibrino

Docket No. : 2444-105  
Customer No. : 06449

Director of the United States Patent  
and Trademark Office  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**Amendment and Response to Restriction Requirement**

Dear Sir:

A Restriction Requirement was issued in connection with the above-referenced patent application on January 2, 2004, in which the claims of the application were divided into 10 different groups. The examiner asserted that claim1 of the application does not provide a technical feature that is distinguished over the prior art, as evidenced by a reference by Compagnon et al. The examiner characterized this reference as teaching cationic liposomes complexed with antibody-polylysine conjugates, i.e., nucleic acid-cationic immunoliposome complexes.

Prior to addressing the merits of the Restriction Requirement, Applicants respectfully request that the claims of this application be amended as reflected in the listing of claims which begins on page 2 of this paper.